If you are experiencing **technical difficulties with the audio**, please connect with a **landline or cell phone**.

Under "Select Audio Connection" choose one of the following: & Select Audio Connection **1. "Call Me"** or; I Will Call In 2. "I Will Call In" Call Me Select Audio Connection I Will Call In I Will Call In Follow the instructions Use the Q&A box for questions Call using other application Call +1-438-797-4001 (Canada Toll (Montreal)) All global call-in numbers 2. Enter this access code: 718 364 255 #

2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

Full Application Webinar

May 2023

Webinar Contents

- 1. Funding opportunity description
- 2. Eligibility and roles
- 3. Review process
- 4. Full Application requirements
- 5. Information for research administrators (RGOs)
- 6. Resources and questions

2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

Joint Initiative - 2023 International Joint Initiative

A collaboration among research funders to leverage international expertise to tackle the global challenges caused by climate change.

Goal:

Further the design and implementation of co-produced adaptation and mitigation strategies for vulnerable groups—those groups currently most impacted by the effects of climate change owing to both physical vulnerability and socioeconomic vulnerability.



2023 International Joint Initiative: Key Risks

The <u>Sixth Assessment Report of the United Nations (UN) Intergovernmental Panel on Climate Change (IPCC)</u> contain more than 130 key risks that could become severe, taking into consideration climate hazards, exposure and vulnerability. The reports grouped these into eight representative key risks:

- 1. Risks to low-lying coastal socio-ecological systems
- 2. Risks to terrestrial and ocean ecosystems
- 3. Risks associated with critical physical infrastructure, networks and services
- 4. Risks to living standards

- 5. Risks to human health
- 6. Risks to food security
- 7. Risks to water security
- 8. Risks to peace and to human mobility

Projects must directly address at least two of the representative key risks.

Joint Initiative - Consortium Partners

| Funding organization | Country |
|--|----------------|
| New Frontiers in Research Fund (NFRF) | Canada |
| Sao Paulo Research Foundation (FAPESP) | Brazil |
| Deutsche Forschungsgemeinschaft (German Research Foundation) | Germany |
| The Research Council of Norway (RCN) | Norway |
| National Research Foundation (NRF) | South Africa |
| Swiss National Science Foundation (SNSF) | Switzerland |
| UK Research and Innovation (UKRI) | United Kingdom |
| National Science Foundation (NSF) | United States |

Funding Principles

This call takes a **coordinated funding approach**: each Consortium
Partner will fund researcher(s) within
a project team who are eligible to
receive funding from them.

Eligible expenses may vary across a project team. Applicants must review the documentation from the relevant Consortium Partner(s).

Using the links in the **competition overview**, teams should check the eligibility of expenses before submitting the full application.

Value and Duration

Value

The total amount of funding available to support each project will depend on the Consortium Partners that will be supporting the project.

Refer to the information provided in the <u>annexes</u> for information on the level of financial support available.

Duration

Projects should be designed to be completed within **three years**.

One-year no-cost extensions may be available from some Consortium Partners in some circumstances.

Funding Opportunity Description – Competition Timeline

Important Dates

May 2, 2023

Notice of Intent (NOI) deadline

September 12, 2023

Full Application deadline

February 2024

Notice of funding decisions

March 2024

Start date of awards

2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

Project Team

Project teams will be composed of:

- Co-principal investigators (Co-Pls) who share responsibility for directing the project and coordinating proposed research activities, in addition to participating in the execution of the research project
- Co-applicants and collaborators who contribute to executing the research project.

A minimum of three countries must be represented among the co-PIs and the team must be eligible to receive funds from at least two Consortium Partners.

At least one co-PI must be eligible to apply to the NFRF program.

Eligibility requirements

All projects are required to partner with participating community or communities in the **co-creation**, **implementation** and **ownership** of the research and outcomes, and to develop approaches related to policy implementation and knowledge mobilization.

The integration of team members from <u>vulnerable</u> groups is required.



Project Team - Eligibility

Individuals may participate as a co-PI on **only one** application to this competition.

 An individual who is listed as a co-PI on multiple applications will have their status changed to a co-applicant on all but one application, determined by the order in which they are received.

Each Consortium Partner may have additional eligibility rules for applicants and proposed projects. Review each funding organization's <u>eligibility rules</u>, requirements, funding mandates, policies, eligible costs and procedure.

2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

Overview

NOI

Internal Review

Assess indicative eligibility of the project team and help staff identify reviewers

External Reviewers

Comment on fit to program, interdisciplinarity, high risk, high reward and feasibility



Reviewer report



Full Application

Multidisciplinary/ multisectoral review panel evaluation

| Fit-to-program | p/f |
|--|-----|
| Equity, diversity and inclusion (EDI) in Research Practice | p/f |
| Interdisciplinary and trans-sectoral approach | p/f |
| High Risk | 20% |
| High Reward | 40% |
| Feasibility | 40% |

Consortium Partners

The ranked list will be used by Consortium Partners to determine which applications will be funded.

External Review

External reviewers are individuals with expertise related to a specific application.

External reviewers comment on the criteria as follows:

- Does the project fit the expectations of the call? (yes/no)
 - Comment on the fit-to-program
- Is the project interdisciplinary? (yes/no)
 - Comment on the interdisciplinarity

- Is the project high risk? (yes/no)
 - Strengths and weaknesses
- Is the project high reward? (yes/no)
 - Strengths and weaknesses
- Is the project feasible? (yes/no)
 - Strengths and weaknesses
- Any <u>areas of concern</u> or comments

External reviewers will have access to all parts of the application, except the EDI in research practice section.

Multidisciplinary / Multisectoral Review Panel

Assigned members assess according to evaluation criteria:

- Fit-to-program (pass/fail);
- EDI (pass/fail);
- Interdisciplinarity (pass/fail);
- High risk: 20% of overall score;
- High reward: 40% of overall score; et
- Feasibility: 40% of overall score.

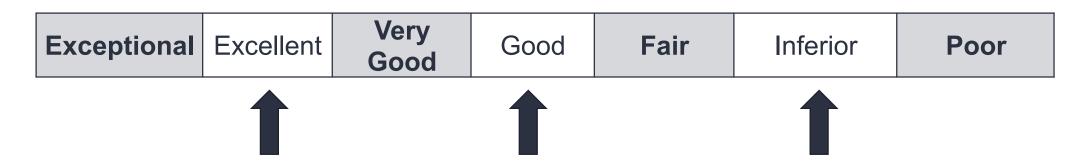
Each application will be adjudicated by a minimum of 3 members

Members have access to all content from the NOI and Full application stages, in addition to the External reviewers' reports



Evaluation matrices

High Risk, High Reward and Feasibility are evaluated using a seven-point scale:



For more information on the criteria refer to the <u>evaluation matrices</u>

Consortium Partners Committee

This Committee will review the ranked list resulting from the review process to determine which applications will be funded.

Applications will be funded in their ranked order from highest score to lowest.

An application in the list will only be skipped in cases where one Partner has no budget remaining to award to it.



2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

Deadline

Application deadline for submission to NFRF:

September 12, 2023 at 8:00pm EST

Check with your research administrator regarding internal deadlines. The NFRF-eligible Co-PI's research administrator is responsible for submitting the application.

Note that some Consortium Partners require additional information to be submitted to them through their respective systems. Please refer to the relevant <u>annexes</u>.

Full application content

All relevant information from the NOI will be prepopulated in the full application.

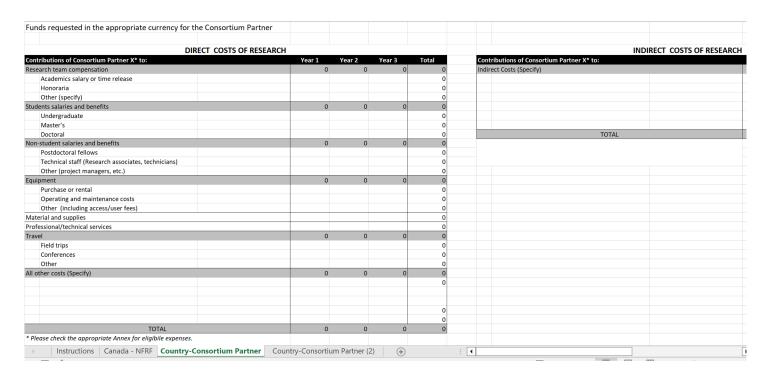
- Completed profiles for any additional members of the research team (Co-Pls and Co-applicants)
- Additional collaborators
- Details of: Proposed budget, EDI in research design, Certifications, licenses and permits, and EDI in research practice (in Convergence Portal)

Supporting documents:

- Proposal
 - Biographical information about team members
 - Budget justification
 - Research proposal
 - EDI in research design
 - Management plans
- Budget table
- Literature references
- Supplementary information for consortium partners (if applicable)
- Other documents

Proposed Budget

Fill in the table with the total amount requested each year from the relevant Consortium Partner in the appropriate currency.



Ensure that the amount requested is less than the maximum allowable amount as noted in the <u>Consortium Partner annexes</u>.

Equity, Diversity, and Inclusion in Research Design (EDI-RD)

Indicate whether EDI in research design considerations have been integrated into the proposed research.

EDI in research design considers the potential impact that identity factors may have on the individual's experience. The goal in considering EDI in research design is to promote rigorous research that is sensitive to sex and gender, as well as many other identity factors.

EDI considerations must be integrated in the project design. A rationale must be provided in cases where a research team believes no aspect of their research may benefit from an analysis to consider sex, gender or other identity factors.

Equity, diversity and inclusion in Research Practice (EDI-RP)

In this section, please provide the following:

- Information about the team's specific circumstances related to EDI in research practice, including identification of structural biases that could affect members of underrepresented groups (character limit: 2500);
- 2. The best practices that will be applied to each of the following elements (character limit: 2500):
- team composition and recruitment processes
- training and development opportunities
- > inclusion

Equity, diversity and inclusion in Research Practice (EDI-RP)

Applications must not include any self-identifying information about members of the research team in the equity, diversity and inclusion (EDI) in research practice section.

Focus on the team's commitment to EDI, not on its EDI profile.

Certifications, Licenses and Permits

Research taking place outdoors may require submission of the Impact Assessment Form (Appendix A) at the time of application





Impact Assessment Form (Appendix A)

- Applicants conducting research that involves the construction of a structure outdoors, or a
 permanent alteration to the land or environment, such as digging a well or conducting
 archeological excavations, are required to complete the Impact Assessment Form
 (Appendix A).
- Research activities that <u>do not</u> require completion of the form include:
 - Travelling outside of Canada to conduct archival research, interviews, administer questionnaires or surveys, or to attend conferences;
 - Interviews conducted outdoors;
 - Outdoor plays, productions or exhibits in urban/developed areas without permanent installations.
- Please contact the program if you have any questions related to the Impact Assessment Act (IAA). Guidance on the IAA is available on <u>SSHRC's website</u>

Supporting Documents

Proposal (20 pages*):

- Introduction/overview (2 pages)
- Biographical information (4 pages)
 - Research team's knowledge, background and expertise, and planned contributions
- Research proposal (7 pages)
 - The proposed research project
 - The anticipated scientific impact
 - The research methodology and design
 - Work plan with a timeline

- The approach to co-production with vulnerable groups
- How the project will position the research for uptake
- Equity, diversity and inclusion in research design (1 page)
- Management plans (3 pages)
- Budget justification (3 pages)
 - A breakdown and explanation of the direct costs of the project

Supplementary information for Consortium Partners

CVs must be uploaded for the team member(s) eligible to receive funds from

Deutsche Forschungsgemeinschaft (Germany), the RCN (Research Council of Norway), and the National Research Foundation (South Africa).

Other documents

Any additional information requested by Consortium Partners (see annexes) may be uploaded in this section. The documents uploaded here will not be included in the application package evaluated by the external reviewers or the multidisciplinary-multisectoral review panel.

Submitting the Full Application

Only the NFRF-eligible co-PI is able to submit the Full Application.

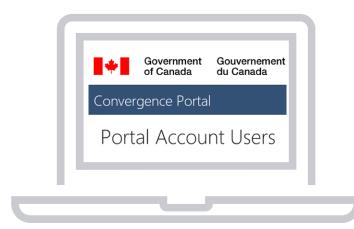
Review your full application to ensure that it is complete.

From the **Finalize Application** section in the Convergence Portal, follow the prompts to submit.

After you accept the terms and conditions, the status of your submission will change to **Received by Administrator.**

Once your research administrator has approved and submitted your application, the application status will change to **Received by Agency.**

No changes can be made at that point.



2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

RGO role

RGOs can, in the Convergence Portal:

- View full applications from Co-Pls at their institution (including those that are expired, in progress, and submitted)
- Return submitted full applications to the Co-PI
- Forward submitted full applications to the agency

Before forwarding an application to the agency, the RGO will be asked to confirm:

- the NFRF-eligible participants meet the eligibility requirements; and
- the proposed research project meets the eligibility requirements.

RGO role

By forwarding the application, the RGO will also be certifying on behalf of the institution, that the Co-PI

- Is (or will be) affiliated with the institution or organization;
- Has the necessary time and resources to carry out the activity;
- Will email <u>NFRF-FNFR@chairs-chaires.gc.ca</u>
 of any changes during the tenure of the grant,
 including changes in the composition of the
 team, such as the addition of new co-Pls, coapplicants, or collaborators.



RGO role

RGOs can use the **Research Administrator** button to access their institution's applications.



If you are an RGO and you don't see the button, please contact websupport@chairs-chaires.gc.ca

2023 International Joint Initiative for Research in Climate Change Adaptation and Mitigation

Resources - Contacts

Program-related questions:

NFRF-FNFR@chairs-chaires.gc.ca

Convergence Portal help:

websupport@convergence.gc.ca

613-995-4273



Consortium Partner Contacts:

- Sao Paulo Research Foundation (FAPESP): <u>chamada-NFRF@fapesp.br</u>
- Deutsche Forschungsgemeinschaft (German Research Foundation): <u>Christiane.Joerk@dfg.de</u>; <u>Sigrid.Classen@dfg.de</u>
- The Research Council of Norway (RCN): tnm@rcn.no;
 masae@rcn.no
- National Research Foundation (NRF): National Research Foundation (NRF)
- Swiss National Science Foundation (SNSF): matt.kimmich@snf.ch
- UK Research and Innovation (UKRI): <u>iircc@esrc.ukri.org</u>
- National Science Foundation (NSF): <u>wbauchsp@nsf.gov</u>

Resources - Links

NFRF Website

Competition Overview

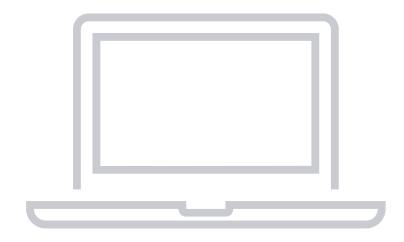
Full Application Guide

Review Process Guide

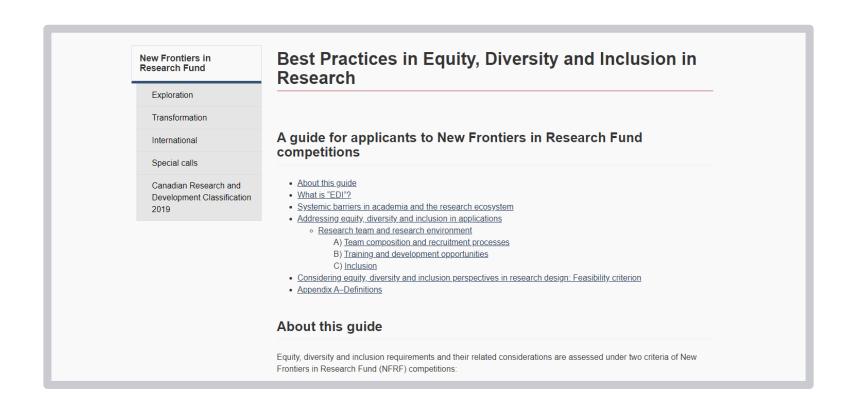
Evaluation Matrices

Annexes





EDI Best Practices Guide - For EDI in Research Practice



Indigenous research

For proposals involving Indigenous research, SSHRC's <u>Indigenous Research Statement of Principles</u> and <u>Guidelines for the Merit Review of Indigenous Research</u> may be used as a reference.



Video Capsule

NFRFE 2020 NOI
Convergence Walkthrough





Tips – Common errors noted in NOIs

Please read the **instructions** carefully!!

- Select NFRF as one of the Consortium Partners
- Budget
 - Include requested funds from all selected Consortium Partners
 - Do not convert amounts to CAD\$ amounts should be in the relevant currency
- Consult the <u>Consortium Partner annexes</u> provided for information about each of the partners - including eligibility, maximum annual value of grants
 - MANY applicants requested more than the maximum annual value for NFRF
- Be sure to let your research administrator know you plan on submitting so that they can submit the Full Application on time

Questions?

